# Package 'ProcData'

January 20, 2025

Type Package
Title Process Data Analysis
Version 0.3.2
Date 2021-03-24

## **Description**

Provides tools for exploratory process data analysis. Process data refers to the data describing participants' problem-solving processes in computer-

based assessments. It is often recorded in computer

log files. This package provides functions to read, process, and write process data. It also implements

two feature extraction methods to compress the information stored in process data into standard numerical vectors. This package also provides recurrent neural network based models that relate response processes

with other binary or scale variables of interest. The functions that involve training and evaluating neural networks

are wrappers of functions in 'keras'.

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Repository CRAN

**Date/Publication** 2021-04-01 12:50:09 UTC

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ProcData-package

ProcData: A package for process data analysis

## **Description**

General tools for exploratory process data analysis. Process data refers to the data describing participants' problem solving processes in computer-based assessments. It is often recorded in computer log files. This package a process dataset and functions for reading processes from a csv file, process manipulation, action sequence generators. It also implements two automatic feature extraction methods that compress the information stored in process data, which often has a nonstandard format, into standard numerical vectors. This package also provides recurrent neural network based models that relate response processes with other binary or scale variables of interest. The functions that involve training and evaluating neural networks are based on functions in keras.

#### Data structure

ProcData organizes response processes as an object of class proc. Some functions are provided for summarizing and manipulating proc objects.

- summary.proc calculates summary statistics of a proc object.
- remove\_action removes actions and the corresponding timestamps
- replace\_action replaces an action by another action
- combine\_actions combines consecutive action into one action.

## Read sequences

• read. seqs reads response processes from a csv file.

## **Sequence generators**

- seq\_gen generates action sequences of an imaginery simulation-based item.
- seq\_gen2 generates action sequences according to a given probability transition matrix.
- seq\_gen3 generates action sequences according to a recurrent neural network.

### **Feature extraction methods**

- seq2feature\_mds extracts features from response processes by multidimensional scaling.
- seq2feature\_seq2seq extracts features from response processes by autoencoder.
- seq2feature\_ngram extracts ngram features from response processes.

### Sequence models

- seqm fits a neural network model that relates response processes with a response variable.
- predict.seqm makes predictions from the models fitted by seqm.

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#### See Also

Useful links:

• Report bugs at https://github.com/xytangtang/ProcData/issues

action\_seqs\_summary

Summarize action sequences

### **Description**

Summarize action sequences

#### Usage

```
action_seqs_summary(action_seqs)
```

## **Arguments**

action\_seqs a list of action sequences.

### Value

a list containing the following objects:

n\_seq the number of action sequencesn\_action the number of distinct actions

action the action set
seq\_length sequence lengths
action\_freq action counts

action\_seqfreq the number of sequences that each action appears

trans\_count a length(action) by length(action) matrix whose element in the i-th row

and j-th column is the counts of transition from action[i] to action[j].

## See Also

time\_seqs\_summary for summarizing timestamp sequences.

aseq2feature\_seq2seq 5

aseq2feature\_seq2seq Feature Extraction by action sequence autoencoder

## **Description**

aseq2feature\_seq2seq extract features from action sequences by action sequence autoencoder.

## Usage

```
aseq2feature_seq2seq(aseqs, K, rnn_type = "lstm", n_epoch = 50,
  method = "last", step_size = 1e-04, optimizer_name = "adam",
  samples_train, samples_valid, samples_test = NULL, pca = TRUE,
  verbose = TRUE, return_theta = TRUE)
```

## Arguments

aseqs	a list of n action sequences. Each element is an action sequence in the form of a vector of actions.
K	the number of features to be extracted.
rnn_type	the type of recurrent unit to be used for modeling response processes. " $1stm$ " for the long-short term memory unit. " $gru$ " for the gated recurrent unit.
n_epoch	the number of training epochs for the autoencoder.
method	the method for computing features from the output of an recurrent neural network in the encoder. Available options are "last" and "avg".
step_size	the learning rate of optimizer.
optimizer_name	a character string specifying the optimizer to be used for training. Availabel options are "sgd", "rmsprop", "adadelta", and "adam".
samples_train	vectors of indices specifying the training, validation and test sets for training autoencoder.
samples_valid	vectors of indices specifying the training, validation and test sets for training autoencoder.
samples_test	vectors of indices specifying the training, validation and test sets for training autoencoder.
pca	logical. If TRUE, the principal components of features are returned. Default is TRUE.
verbose	logical. If TRUE, training progress is printed.
return_theta	logical. If TRUE, extracted features are returned.

#### **Details**

This function trains a sequence-to-sequence autoencoder using keras. The encoder of the autoencoder consists of an embedding layer and a recurrent neural network. The decoder consists of another recurrent neural network and a fully connect layer with softmax activation. The outputs of the encoder are the extracted features.

The output of the encoder is a function of the encoder recurrent neural network. It is the last output of the encoder recurrent neural network if method="last" and the average of the encoder recurrent neural network if method="avg".

#### Value

aseq2feature\_seq2seq returns a list containing

theta a matrix containing K features or principal features. Each column is a feature.

train\_loss a vector of length n\_epoch recording the trace of training losses.

valid\_loss a vector of length n\_epoch recording the trace of validation losses.

test\_loss a vector of length n\_epoch recording the trace of test losses. Exists only if

samples\_test is not NULL.

#### See Also

chooseK\_seq2seq for choosing K through cross-validation.

Other feature extraction methods: atseq2feature\_seq2seq, seq2feature\_mds\_large, seq2feature\_mds, seq2feature\_ngram, seq2feature\_seq2seq, tseq2feature\_seq2seq

### **Examples**

atseq2feature\_seq2seq Feature Extraction by action and time sequence autoencoder

#### **Description**

atseq2feature\_seq2seq extract features from action and timestamp sequences by a sequence autoencoder.

## Usage

```
atseq2feature_seq2seq(atseqs, K, weights = c(1, 0.5),
  cumulative = FALSE, log = TRUE, rnn_type = "lstm", n_epoch = 50,
  method = "last", step_size = 1e-04, optimizer_name = "rmsprop",
  samples_train, samples_valid, samples_test = NULL, pca = TRUE,
  verbose = TRUE, return_theta = TRUE)
```

### **Arguments**

a list of two elements, first element is the list of n action sequences, Each element is an action sequence in the form of a vector of actions. The second element is the list of n timestamp sequences corresponding to the action sequences. Each element is a numeric sequence in the form of a vector of timestamps associated with actions, with the timestamp of the first event (e.g., "start") of 0.

K the number of features to be extracted.

weights a vector of 2 elements for the weight of the loss of action sequences (categor-

ical\_crossentropy) and time sequences (mean squared error), respectively. The

total loss is calculated as the weighted sum of the two losses.

cumulative logical. If TRUE, the sequence of cumulative time up to each event is used as

input to the neural network. If FALSE, the sequence of inter-arrival time (gap time between an event and the previous event) will be used as input to the neural

network. Default is FALSE.

logical. If TRUE, for the timestamp sequences, input of the neural net is the

base-10 log of the original sequence of times plus 1 (i.e., log 10(t+1)). If FALSE,

the original sequence of times is used.

rnn\_type the type of recurrent unit to be used for modeling response processes. "1stm"

for the long-short term memory unit. "gru" for the gated recurrent unit.

n\_epoch the number of training epochs for the autoencoder.

method the method for computing features from the output of an recurrent neural net-

work in the encoder. Available options are "last" and "avg".

step\_size the learning rate of optimizer.

optimizer\_name a character string specifying the optimizer to be used for training. Availabel

options are "sgd", "rmsprop", "adadelta", and "adam".

samples\_train vectors of indices specifying the training, validation and test sets for training

autoencoder.

samples\_valid vectors of indices specifying the training, validation and test sets for training

autoencoder.

samples\_test vectors of indices specifying the training, validation and test sets for training

autoencoder.

pca logical. If TRUE, the principal components of features are returned. Default is

TRUE.

verbose logical. If TRUE, training progress is printed.

return\_theta logical. If TRUE, extracted features are returned.

#### **Details**

This function trains a sequence-to-sequence autoencoder using keras. The encoder of the autoencoder consists of a recurrent neural network. The decoder consists of another recurrent neural network followed by a fully connected layer with softmax activation for actions and another fully connected layer with ReLU activation for times. The outputs of the encoder are the extracted features.

The output of the encoder is a function of the encoder recurrent neural network. It is the last latent state of the encoder recurrent neural network if method="last" and the average of the encoder recurrent neural network latent states if method="avg".

#### Value

tseq2feature\_seq2seq returns a list containing

theta	a matrix containing K features or principal features. Each column is a feature.
train_loss	a vector of length n_epoch recording the trace of training losses.
valid_loss	a vector of length n_epoch recording the trace of validation losses.
test_loss	a vector of length n_epoch recording the trace of test losses. Exists only if samples_test is not NULL.

## See Also

chooseK\_seq2seq for choosing K through cross-validation.

Other feature extraction methods: aseq2feature\_seq2seq, seq2feature\_mds\_large, seq2feature\_mds, seq2feature\_ngram, seq2feature\_seq2seq, tseq2feature\_seq2seq

### **Examples**

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calculate\_dist\_cpp

Calculate "oss\_action" dissimilarity matrix through Rcpp

## Description

Calculate "oss\_action" dissimilarity matrix through Rcpp

### **Usage**

```
calculate_dist_cpp(seqs)
```

## **Arguments**

seqs

a list of action sequences

#### Value

calculate\_dist\_cpp returns the "oss\_action" dissimilarity matrix of the action sequences in seqs.

cc\_data

Data of item CP025Q01 (climate control item 1) in PISA 2012

## Description

A dataset containing the response processes and binary response outcomes of 16763 respondents.

## Usage

cc\_data

## **Format**

A list with two elements.

**seqs** An object of class "proc" containing the action sequences and the time sequences of the respondents.

**responses** Binary responses of 16763 respondents. The order of the respondents matches that in seqs.

## **Source**

item interface: http://www.oecd.org/pisa/test-2012/testquestions/question3/

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chooseK_mds	Choose the number of multidimensional scaling features	

## Description

chooseK\_mds choose the number of multidimensional scaling features to be extracted by cross-validation.

## Usage

```
chooseK_mds(seqs = NULL, K_cand, dist_type = "oss_action",
   n_fold = 5, max_epoch = 100, step_size = 0.01, tot = 1e-06,
   return_dist = FALSE, L_set = 1:3)
```

## **Arguments**

seqs	a "proc" object or a square matrix. If a squared matrix is provided, it is treated as the dissimilary matrix of a group of response processes.
K_cand	the candidates of the number of features.
dist_type	a character string specifies the dissimilarity measure for two response processes. See 'Details'.
n_fold	the number of folds for cross-validation.
max_epoch	the maximum number of epochs for stochastic gradient descent.
step_size	the step size of stochastic gradient descent.
tot	the accuracy tolerance for determining convergence.
return_dist	logical. If TRUE, the dissimilarity matrix will be returned. Default is FALSE.
L_set	length of ngrams considered

### Value

chooseK\_mds returns a list containing

K	the value in K_cand producing the smallest cross-validation loss.
K_cand	the candidates of the number of features.
cv_loss	the cross-validation loss for each candidate in K_cand.
dist_mat	the dissimilary matrix. This element exists only if return_dist=TRUE.

## References

Gomez-Alonso, C. and Valls, A. (2008). A similarity measure for sequences of categorical data based on the ordering of common elements. In V. Torra & Y. Narukawa (Eds.) *Modeling Decisions for Artificial Intelligence*, (pp. 134-145). Springer Berlin Heidelberg.

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### See Also

seq2feature\_mds for feature extraction after choosing the number of features.

### **Examples**

```
n <- 50
set.seed(12345)
seqs <- seq_gen(n)
K_res <- chooseK_mds(seqs, 5:10, return_dist=TRUE)
theta <- seq2feature_mds(K_res$dist_mat, K_res$K)$theta</pre>
```

chooseK\_seq2seq

Choose the number of autoencoder features

## Description

chooseK\_seq2seq chooses the number of features to be extracted by cross-validation.

## Usage

```
chooseK_seq2seq(seqs, ae_type, K_cand, rnn_type = "lstm", n_epoch = 50,
  method = "last", step_size = 1e-04, optimizer_name = "adam",
  n_fold = 5, cumulative = FALSE, log = TRUE, weights = c(1, 0.5),
  valid_prop = 0.1, verbose = TRUE)
```

## Arguments

ξ	guments		
	seqs	an object of class "proc".	
	ae_type	a string specifies the type of autoencoder. The autoencoder can be an action sequence autoencoder ("action"), a time sequence autoencoder ("time"), or an action-time sequence autoencoder ("both").	
	K_cand	the candidates of the number of features.	
	rnn_type	the type of recurrent unit to be used for modeling response processes. "lstm" for the long-short term memory unit. "gru" for the gated recurrent unit.	
	n_epoch	the number of training epochs for the autoencoder.	
	method	the method for computing features from the output of an recurrent neural network in the encoder. Available options are "last" and "avg".	
	step_size	the learning rate of optimizer.	
	optimizer_name	a character string specifying the optimizer to be used for training. Availabel options are "sgd", "rmsprop", "adadelta", and "adam".	
	n_fold	the number of folds for cross-validation.	
	cumulative	logical. If TRUE, the sequence of cumulative time up to each event is used as input to the neural network. If FALSE, the sequence of inter-arrival time (gap time between an event and the previous event) will be used as input to the neural network. Default is FALSE.	

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logical. If TRUE, for the timestamp sequences, input of the neural net is the

base-10 log of the original sequence of times plus 1 (i.e., log10(t+1)). If FALSE,

the original sequence of times is used.

weights a vector of 2 elements for the weight of the loss of action sequences (categor-

ical\_crossentropy) and time sequences (mean squared error), respectively. The

total loss is calculated as the weighted sum of the two losses.

valid\_prop the proportion of validation samples in each fold.
verbose logical. If TRUE, training progress is printed.

#### Value

chooseK\_seq2seq returns a list containing

K the candidate in K\_cand producing the smallest cross-validation loss.

K\_cand the candidates of number of features.

cv\_loss the cross-validation loss for each candidate in K\_cand.

#### See Also

seq2feature\_seq2seq for feature extraction given the number of features.

### **Description**

Combine the action pattern described in old\_actions into a single action new\_action. The timestamp of the combined action can be the timestamp of the first action in the action pattern, the timestamp of the last action in the action pattern, or the average of the two timestamps.

## Usage

```
combine_actions(seqs, old_actions, new_action, timestamp = "first")
```

## Arguments

seqs an object of class "proc"

old\_actions a character vector giving consecutive actions to be replaced.

new\_action a string giving the combined action

timestamp "first", "last", or "avg", specifying how the timestamp of the combined action

should be derived.

### Value

```
an object of class "proc"
```

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## **Examples**

count\_actions

Count action appearances

## **Description**

This function counts the appearances of each action in actions in action sequence x.

### Usage

```
count_actions(x, actions)
```

## **Arguments**

x an action sequence.

actions a set of actions whose number of appearances will be count.

#### Value

an integer vector of counts.

predict.seqm

Predict method for sequence models

## Description

Obtains predictions from a fitted sequence model object.

### Usage

```
## S3 method for class 'seqm'
predict(object, new_seqs, new_covariates = NULL,
   type = "response", ...)
```

## **Arguments**

object a fitted object of class "seqm" from seqm.

new\_seqs an object of class "proc" with which to predict.

new\_covariates a new covariate matrix with which to predict.

type a string specifying whether to predict responses ("response") or features ("feature")

or both ("both").

... further arguments to be passed to predict.keras.engine.training.Model.

print.proc

### **Details**

It unserialize object\$model\_fit to obtain a keras model of class "keras.engin.training.Model" and then calls predict to obtain predictions.

### Value

If type="response", a vector of predictions. The vector gives the probabilities of the response variable being one if response\_type="binary". If type="feature", a matrix of rnn outputs. If type="both", a list containing both the vector of response variable prediction and the rnn output matrix.

### See Also

seqm for fitting sequence models.

print.proc

Print method for class "proc"

## Description

Print method for class "proc"

## Usage

```
## S3 method for class 'proc'
print(x, n = 5, index = NULL, quote = FALSE, ...)
```

## **Arguments**

x an object of class "proc"

n number of processes to be printed.

index indice of processes to be printed.

quote logical, indicating whether or not strings should be printed with surrounding quotes.

... not used.

## Value

print.proc invisibly returns the "proc" object it prints.

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print.summary.proc

Print method for class "summary.proc"

## Description

Print method for class "summary.proc"

## Usage

```
## S3 method for class 'summary.proc'
print(x, ...)
```

## Arguments

```
x an object of class "proc"
... not used.
```

#### Value

No return value.

proc

Class "proc" constructor

### **Description**

Create a "proc" object from given action sequences and timestamp sequences

#### Usage

```
proc(action_seqs, time_seqs, ids = NULL)
```

## Arguments

action\_seqs a list of action sequences.

time\_seqs a list of timestamp sequences.

ids ids identifiers of response processes.

### **Details**

An object of class "proc" is a list containing the following components:

- action\_seqsa list of action sequences.
- time\_seqsa list of timestamp sequences.

The names of the elements in seqs\$action\_seqs and seqs\$time\_seqs are process identifiers.

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## Value

an object of class "proc" containing the provided action and timestamp sequences.

read.seqs	Reading response processes from csv files	

## Description

Reads a csv file and creates response process data.

## Usage

```
read.seqs(file, style, id_var = NULL, action_var = NULL,
   time_var = NULL, step_sep = ",", ...)
```

## Arguments

file	the name of the csv file from which the response processes are to be read.
style	the style that the response processes are stored. See 'Details'.
id_var	a string giving the name of the variable storing the process identifier.
action_var	a string giving the name of the variable storing action sequences.
time_var	a string giving the name of the variable storing timestamp sequences.
step_sep	the step separator characters. It is only used if style="single".
	further arguments to be passed to read.csv.

### **Details**

read.seqs calls read.csv to read process data stored in a csv file into R. The csv file to be read should at least include an identifier of distinct response processes, and action sequences. It can also include timestamp sequences.

The response processes (action sequences and timestamp sequences) stored in csv files can be in one of the two styles, "single" and "multiple". In "single" style, each response process occupies a single line. Actions and timestamps at different steps are separated by step\_sep. In "multiple" style, each response process occupies multiple lines with each step taking up one line.

### Value

```
read. seqs returns an object of class "proc".
```

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remove\_action

Remove actions from response processes

## **Description**

Remove actions in actions and the corresponding timestamps in response processes seqs.

## Usage

```
remove_action(seqs, actions)
```

## Arguments

seqs an object of class "proc"

actions a character vector. Each element is an action to be removed.

### Value

an object of class "proc" with actions in actions and the corresponding timestamps removed.

## Examples

```
seqs <- seq_gen(10)
new_seqs <- remove_action(seqs, c("RUN", "Start"))</pre>
```

remove\_repeat

Remove repeated actions

## Description

Remove repeated actions

## Usage

```
remove_repeat(seqs, ignore = NULL)
```

## Arguments

seqs an object of class "proc"

ignore repeated actions in ignore will not be deleted.

#### Value

```
an object of class "proc"
```

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replace\_action

Replace actions in response processes

## **Description**

Replace old\_action with new\_action in seqs. Timestamp sequences are not affected.

## Usage

```
replace_action(seqs, old_action, new_action)
```

### **Arguments**

```
seqs an object of class "proc"
```

old\_action a string giving the action to be replaced.

new\_action a string giving the action replacing old\_action

### Value

```
an object of class "proc"
```

## **Examples**

```
seqs <- seq_gen(10)
new_seqs <- replace_action(seqs, "Start", "Begin")</pre>
```

seq2feature\_mds

Feature extraction via multidimensional scaling

## **Description**

seq2feature\_mds extracts K features from response processes by multidimensional scaling.

## Usage

```
seq2feature_mds(seqs = NULL, K = 2, method = "auto",
  dist_type = "oss_action", pca = TRUE, subset_size = 100,
  subset_method = "random", n_cand = 10, return_dist = FALSE,
  L_set = 1:3)
```

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#### **Arguments**

segs a "proc" object or a square matrix. If a squared matrix is provided, it is treated

as the dissimilary matrix of a group of response processes.

K the number of features to be extracted.

method a character string specifies the algorithm used for performing MDS. See 'De-

tails'.

dist\_type a character string specifies the dissimilarity measure for two response processes.

See 'Details'.

pca logical. If TRUE (default), the principal components of the extracted features are

returned.

subset\_size, n\_cand

two parameters used in the large data algorithm. See 'Details' and seq2feature\_mds\_large.

subset\_method a character string specifying the method for choosing the subset in the large data

algorithm. See 'Details' and seq2feature\_mds\_large.

return\_dist logical. If TRUE, the dissimilarity matrix will be returned. Default is FALSE.

L\_set length of ngrams considered

#### **Details**

Since the classical MDS has a computational complexity of order  $n^3$  where n is the number of response processes, it is computational expensive to perform classical MDS when a large number of response processes is considered. In addition, storing an  $n \times n$  dissimilarity matrix when n is large require a large amount of memory. In seq2feature\_mds, the algorithm proposed in Paradis (2018) is implemented to obtain MDS for large datasets. method specifies the algorithm to be used for obtaining MDS features. If method = "small", classical MDS is used by calling cmdscale. If method = "large", the algorithm for large datasets will be used. If method = "auto" (default), seq2feature\_mds selects the algorithm automatically based on the sample size.

dist\_type specifies the dissimilarity to be used for measuring the discrepancy between two response processes. If dist\_type = "oss\_action", the order-based sequence similarity (oss) proposed in Gomez-Alonso and Valls (2008) is used for action sequences. If dist\_type = "oss\_both", both action sequences and timestamp sequences are used to compute a time-weighted oss.

The number of features to be extracted K can be selected by cross-validation using chooseK\_mds.

#### Value

seq2feature\_mds returns a list containing

theta a numeric matrix giving the K extracted features or principal features. Each

column is a feature.

dist\_mat the dissimilary matrix. This element exists only if return\_dist=TRUE.

#### References

Gomez-Alonso, C. and Valls, A. (2008). A similarity measure for sequences of categorical data based on the ordering of common elements. In V. Torra & Y. Narukawa (Eds.) *Modeling Decisions for Artificial Intelligence*, (pp. 134-145). Springer Berlin Heidelberg.

Paradis, E. (2018). Multidimensional scaling with very large datasets. *Journal of Computational and Graphical Statistics*, 27(4), 935-939.

Tang, X., Wang, Z., He, Q., Liu, J., and Ying, Z. (2020) Latent Feature Extraction for Process Data via Multidimensional Scaling. *Psychometrika*, 85, 378-397.

### See Also

chooseK\_mds for choosing K.

Other feature extraction methods: aseq2feature\_seq2seq, atseq2feature\_seq2seq, seq2feature\_mds\_large, seq2feature\_ngram, seq2feature\_seq2seq, tseq2feature\_seq2seq

### **Examples**

```
n <- 50
set.seed(12345)
seqs <- seq_gen(n)
theta <- seq2feature_mds(seqs, 5)$theta</pre>
```

seq2feature\_mds\_large Feature Extraction by MDS for Large Dataset

## **Description**

seq2feature\_mds\_large extracts MDS features from a large number of response processes. The algorithm proposed in Paradis (2018) is implemented with minor variations to perform MDS. The algorithm first selects a relatively small subset of response processes to perform the classical MDS. Then the coordinate of each of the other response processes are obtained by minimizing the loss function related to the target response processes and the those in the subset through BFGS.

#### Usage

```
seq2feature_mds_large(seqs, K, dist_type = "oss_action", subset_size,
  subset_method = "random", n_cand = 10, pca = TRUE, L_set = 1:3)
```

### **Arguments**

seqs	an object of class "proc"
K	the number of features to be extracted.
dist_type	a character string specifies the dissimilarity measure for two response processes. See 'Details'.
subset_size	the size of the subset on which classical MDS is performed.
subset_method	a character string specifying the method for choosing the subset. It must be one of "random", "sample_avgmax", "sample_minmax", "full_avgmax", and "full_minmax".
n_cand	The size of the candidate set when selecting the subset. It is only used when subset_method is "sample_avgmax" or "sample_minmax".

pca logical. If TRUE (default), the principal components of the extracted features are

returned.

L\_set length of ngrams considered

#### Value

seq2feature\_mds\_large returns an  $n \times K$  matrix of extracted features.

### References

Paradis, E. (2018). Multidimensional Scaling with Very Large Datasets. *Journal of Computational and Graphical Statistics*, 27, 935–939.

### See Also

Other feature extraction methods: aseq2feature\_seq2seq, atseq2feature\_seq2seq, seq2feature\_mds, seq2feature\_ngram, seq2feature\_seq2seq, tseq2feature\_seq2seq

seq2feature\_mds\_stochastic

Feature extraction by stochastic mds

## **Description**

Feature extraction by stochastic mds

## Usage

```
seq2feature_mds_stochastic(seqs = NULL, K = 2,
  dist_type = "oss_action", max_epoch = 100, step_size = 0.01,
  pca = TRUE, tot = 1e-06, return_dist = FALSE, L_set = 1:3)
```

length of ngrams considered.

## Arguments

L\_set

seqs	a "proc" object or a square matrix. If a squared matrix is provided, it is treated as the dissimilary matrix of a group of response processes.
K	the number of features to be extracted.
dist_type	a character string specifies the dissimilarity measure for two response processes. See 'Details'.
max_epoch	the maximum number of epochs for stochastic gradient descent.
step_size	the step size of stochastic gradient descent.
рса	a logical scalar. If TRUE, the principal components of the extracted features are returned.
tot	the accuracy tolerance for determining convergence.
return_dist	logical. If TRUE, the dissimilarity matrix will be returned. Default is FALSE.

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#### Value

seq2feature\_mds\_stochastic returns a list containing

theta a numeric matrix giving the K extracted features or principal features. Each

column is a feature.

loss the value of the multidimensional scaling objective function.

dist\_mat the dissimilary matrix. This element exists only if return\_dist=TRUE.

## **Description**

seq2feature\_ngram extracts ngram features from response processes.

### Usage

```
seq2feature_ngram(seqs, level = 2, type = "binary", sep = "\t")
```

### **Arguments**

seqs an object of class "proc"

level an integer specifying the max length of ngrams

type a character string ("binary", "freq", or "weighted") specifying the type of

ngram features.

sep action seperator within ngram.

## **Details**

Three types of ngram features can be extracted. type = "binary" gives binary ngram features indicating whether an ngram appears in a response process. type = "freq" gives ngram frequency features. Each feature is the count of the corresponding ngram in a response process. type = "weighted" gives the weighted ngram features proposed in He and von Davier (2015).

## Value

a matrix of ngram features

## References

He Q., von Davier M. (2015). Identifying Feature Sequences from Process Data in Problem-Solving Items with N-Grams. In: van der Ark L., Bolt D., Wang WC., Douglas J., Chow SM. (eds) *Quantitative Psychology Research*. Springer Proceedings in Mathematics & Statistics, vol 140. Springer, Cham.

seq2feature\_seq2seq 23

## See Also

Other feature extraction methods: aseq2feature\_seq2seq, atseq2feature\_seq2seq, seq2feature\_mds\_large, seq2feature\_mds, seq2feature\_seq2seq, tseq2feature\_seq2seq

## **Examples**

```
seqs <- seq_gen(100)
theta <- seq2feature_ngram(seqs)</pre>
```

seq2feature\_seq2seq

Feature Extraction by autoencoder

## **Description**

seq2feature\_seq2seq extract features from response processes by autoencoder.

## Usage

```
seq2feature_seq2seq(seqs, ae_type = "action", K, rnn_type = "lstm",
    n_epoch = 50, method = "last", step_size = 1e-04,
    optimizer_name = "adam", cumulative = FALSE, log = TRUE,
    weights = c(1, 0.5), samples_train, samples_valid,
    samples_test = NULL, pca = TRUE, verbose = TRUE,
    return_theta = TRUE)
```

## **Arguments**

seqs	an object of class "proc".
ae_type	a string specifies the type of autoencoder. The autoencoder can be an action sequence autoencoder ("action"), a time sequence autoencoder ("time"), or an action-time sequence autoencoder ("both").
K	the number of features to be extracted.
rnn_type	the type of recurrent unit to be used for modeling response processes. " $lstm$ " for the long-short term memory unit. " $gru$ " for the gated recurrent unit.
n_epoch	the number of training epochs for the autoencoder.
method	the method for computing features from the output of an recurrent neural network in the encoder. Available options are "last" and "avg".
step_size	the learning rate of optimizer.
optimizer_name	a character string specifying the optimizer to be used for training. Availabel options are "sgd", "rmsprop", "adadelta", and "adam".
cumulative	logical. If TRUE, the sequence of cumulative time up to each event is used as input to the neural network. If FALSE, the sequence of inter-arrival time (gap time between an event and the previous event) will be used as input to the neural network. Default is FALSE.

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logical. If TRUE, for the timestamp sequences, input of the neural net is the

base-10 log of the original sequence of times plus 1 (i.e., log10(t+1)). If FALSE,

the original sequence of times is used.

weights a vector of 2 elements for the weight of the loss of action sequences (categor-

ical\_crossentropy) and time sequences (mean squared error), respectively. The

total loss is calculated as the weighted sum of the two losses.

samples\_train, samples\_valid, samples\_test

vectors of indices specifying the training, validation and test sets for training

autoencoder.

pca logical. If TRUE, the principal components of features are returned. Default is

TRUE.

verbose logical. If TRUE, training progress is printed.
return\_theta logical. If TRUE, extracted features are returned.

#### **Details**

This function wraps aseq2feature\_seq2seq, tseq2feature\_seq2seq, and atseq2feature\_seq2seq.

#### Value

seq2feature\_seq2seq returns a list containing

theta a matrix containing K features or principal features. Each column is a feature.

train\_loss a vector of length n\_epoch recording the trace of training losses.

valid\_loss a vector of length n\_epoch recording the trace of validation losses.

test\_loss a vector of length n\_epoch recording the trace of test losses. Exists only if

samples\_test is not NULL.

#### References

Tang, X., Wang, Z., Liu, J., and Ying, Z. (2020) An exploratory analysis of the latent structure of process data via action sequence autoencoders. *British Journal of Mathematical and Statistical Psychology*. 74(1), 1-33.

#### See Also

chooseK\_seq2seq for choosing K through cross-validation.

Other feature extraction methods: aseq2feature\_seq2seq, atseq2feature\_seq2seq, seq2feature\_mds\_large, seq2feature\_mds, seq2feature\_ngram, tseq2feature\_seq2seq

## **Examples**

```
if (!system("python -c 'import tensorflow as tf'", ignore.stdout = TRUE, ignore.stderr= TRUE)) {
    n <- 50
    data(cc_data)
    samples <- sample(1:length(cc_data$seqs$time_seqs), n)
    seqs <- sub_seqs(cc_data$seqs, samples)</pre>
```

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```
# action sequence autoencoder
 K_res <- chooseK_seq2seq(seqs=seqs, ae_type="action", K_cand=c(5, 10),</pre>
                           n_epoch=5, n_fold=2, valid_prop=0.2)
 seq2seq_res <- seq2feature_seq2seq(seqs=seqs, ae_type="action", K=K_res$K,</pre>
                         n_epoch=5, samples_train=1:40, samples_valid=41:50)
 theta <- seq2seq_res$theta
 # time sequence autoencoder
 K_res <- chooseK_seq2seq(seqs=seqs, ae_type="time", K_cand=c(5, 10),</pre>
                           n_epoch=5, n_fold=2, valid_prop=0.2)
 seq2seq_res <- seq2feature_seq2seq(seqs=seqs, ae_type="time", K=K_res$K,</pre>
                         n_epoch=5, samples_train=1:40, samples_valid=41:50)
 theta <- seq2seq_res$theta
 # action and time sequence autoencoder
 K_res <- chooseK_seq2seq(seqs=seqs, ae_type="both", K_cand=c(5, 10),</pre>
                            n_epoch=5, n_fold=2, valid_prop=0.2)
 seq2seq_res <- seq2feature_seq2seq(seqs=seqs, ae_type="both", K=K_res$K,</pre>
                          n_epoch=5, samples_train=1:40, samples_valid=41:50)
 theta <- seq2seq_res$theta
 plot(seq2seq_res$train_loss, col="blue", type="l")
 lines(seq2seq_res$valid_loss, col="red")
}
```

segm

Fitting sequence models

## Description

segm is used to fit a neural network model relating a response process with a variable.

#### Usage

```
seqm(seqs, response, covariates = NULL, response_type,
  actions = unique(unlist(seqs$action_seqs)), rnn_type = "lstm",
  include_time = FALSE, time_interval = TRUE, log_time = TRUE,
  K_emb = 20, K_rnn = 20, n_hidden = 0, K_hidden = NULL,
  index_valid = 0.2, verbose = FALSE, max_len = NULL, n_epoch = 20,
  batch_size = 16, optimizer_name = "rmsprop", step_size = 0.001)
```

## Arguments

```
seqs an object of class "proc".

response response variable.

covariates covariate matrix.

response_type "binary" or "scale".
```

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actions a character vector gives all possible actions. It is will be expanded to include all

actions appear in seqs if necessary.

rnn\_type the type of recurrent unit to be used for modeling response processes. "1stm"

for the long-short term memory unit. "gru" for the gated recurrent unit.

include\_time logical. If the timestamp sequence should be included in the model.

time\_interval logical. If the timestamp sequence is included as a sequence of inter-arrival time.

log\_time logical. If take the logarithm of the time sequence.

K\_emb the latent dimension of the embedding layer.

K\_rnn the latent dimension of the recurrent neural network.

n\_hidden the number of hidden fully-connected layers.

K\_hidden a vector of length n\_hidden specifying the number of nodes in each hidden

layer.

index\_valid proportion of sequences used as the validation set or a vector of indices specify-

ing the validation set.

verbose logical. If TRUE, training progress is printed.

max\_len the maximum length of response processes.

n\_epoch the number of training epochs. batch\_size the batch size used in training.

optimizer\_name a character string specifying the optimizer to be used for training. Availabel

options are "sgd", "rmsprop", "adadelta", and "adam".

step\_size the learning rate of optimizer.

#### **Details**

The model consists of an embedding layer, a recurrent layer and one or more fully connected layers. The embedding layer takes an action sequence and output a sequences of K dimensional numeric vectors to the recurrent layer. If include\_time = TRUE, the embedding sequence is combined with the timestamp sequence in the response process as the input the recurrent layer. The last output of the recurrent layer and the covariates specified in covariates are used as the input of the subsequent fully connected layer. If response\_type="binary", the last layer uses the sigmoid activation to produce the probability of the response being one. If response\_type="scale", the last layer uses the linear activation. The dimension of the output of other fully connected layers (if any) is specified by K\_hidden.

The action sequences are re-coded into integer sequences and are padded with zeros to length max\_len before feeding into the model. If the provided max\_len is smaller than the length of the longest sequence in seqs, it will be overridden.

#### Value

seqm returns an object of class "seqm", which is a list containing

structure a string describing the neural network structure.

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a list of fitted coefficients. The length of the list is  $6 + 2 * n_hidden$ . The first coefficients element gives the action embedding. Elements 2-4 are parameters in the recurrent unit. The rest of the elements are for the fully connected layers. Elements 4 + (2 \* i - 1) and 4 + 2 \* i give the parameters for the i-th fully connected layer. model\_fit a vector of class "raw". It is the serialized version of the trained keras model. a vector of class "raw". It is the serialized version of the keras model for obfeature\_model taining the rnn outputs. include\_time if the timestamp sequence is included in the model. time interval if inter-arrival time is used. log\_time if the logarithm time is used. actions all possible actions. max\_len the maximum length of action sequences. history a n\_epoch by 2 matrix giving the training and validation losses at the end of each epoch.

#### See Also

predict.seqm for the predict method for seqm objects.

### **Examples**

```
if (!system("python -c 'import tensorflow as tf'", ignore.stdout = TRUE, ignore.stderr= TRUE)) {
 n <- 100
 data(cc_data)
 samples <- sample(1:length(cc_data$responses), n)</pre>
 seqs <- sub_seqs(cc_data$seqs, samples)</pre>
 y <- cc_data$responses[samples]</pre>
 x <- matrix(rnorm(n*2), ncol=2)</pre>
 index_test <- 91:100
 index_train <- 1:90</pre>
 seqs_train <- sub_seqs(seqs, index_train)</pre>
 seqs_test <- sub_seqs(seqs, index_test)</pre>
 actions <- unique(unlist(seqs$action_seqs))</pre>
 ## no covariate is used
 res1 <- segm(segs = segs_train, response = y[index_train],
                response_type = "binary", actions=actions, K_emb = 5, K_rnn = 5,
               n_{epoch} = 5
 pred_res1 <- predict(res1, new_seqs = seqs_test)</pre>
 mean(as.numeric(pred_res1 > 0.5) == y[index_test])
 ## add more fully connected layers after the recurrent layer.
 res2 <- seqm(seqs = seqs_train, response = y[index_train],</pre>
               response_type = "binary", actions=actions, K_emb = 5, K_rnn = 5,
               n_hidden=2, K_hidden=c(10,5), n_epoch=5)
```

28 seq\_gen

seq\_gen

Action sequence generator

### **Description**

seq\_gen generates action sequences of an imaginary simulation-based item.

## Usage

```
seq_gen(n, action_set1 = c("OPT1_1", "OPT1_2", "OPT1_3"),
   action_set2 = c("OPT2_1", "OPT2_2"), answer_set = c("CHECK_A",
   "CHECK_B", "CHECK_C", "CHECK_D"), p1 = rep(1, length(action_set1)),
   p2 = rep(1, length(action_set2)), p_answer = rep(1,
   length(answer_set)), p_continue = 0.5, p_choose = 0.5,
   include_time = FALSE, time_intv_dist = list("exp", 1))
```

## Arguments

n An integer. The number of action sequences to be generated. action\_set1, action\_set2

Character vectors giving the choices for the first and the second conditions.

answer\_set A character vector giving the choices for the answer.

p1, p2 Nonnegative numeric vectors. They are the weights for sampling from action\_set1

and action\_set2.

p\_answer A nonnegative numeric vector giving the weights for sampling from answer\_set.

p\_continue Probability of running an/another experiment.

p\_choose Probability of choosing an answer.

include\_time logical. Indicate if timestamp sequences should be generated. Default is FALSE.

time\_intv\_dist A list specifying the distribution of the inter-arrival time.

seq\_gen2 29

#### **Details**

The format of the generated sequences resembles that of the response processes of simulation-based items. In these items, participants are asked to answer a question by running simulated experiments in which two conditions can be controlled. A simulated experiment can be run by setting the two conditions at one of the given choices and click "Run" button.

The possible actions are "Start", "End", "Run", and the elements in action\_set1, action\_set2, and answer\_set. The generated sequences begin with "Start" and continue with groups of three actions. Each group of three actions, representing one experiment, consists of an action chosen from action\_set1 according to p1, an action chosen from action\_set2 according to p2, and "Run". The probability of performing an experiment after "Start" or one experiment is p\_continue. After the experiment process, with probability p\_choose, an answer will be chosen. The chosen answer is randomly sampled from answer\_set according to p\_answer. All generated sequences end with "End".

#### Value

```
An object of class "proc" with time_seqs = NULL.
```

#### See Also

Other sequence generators: seq\_gen2, seq\_gen3

seq\_gen2

Markov action sequence generator

## **Description**

seq\_gen2 generates action sequences according to a given probability transition matrix.

#### Usage

```
seq_gen2(n, Pmat = NULL, events = letters, start_index = 1,
  end_index = length(events), max_len = 200, include_time = FALSE,
  time_intv_dist = list("exp", 1))
```

## **Arguments**

n An integer. The number of action sequences to be generated.

Pmat An N by N probability transition matrix.

events A character vector specifying the set of N possible actions. Default is letters.

start\_index Index of the action indicating the start of an item in events.

end\_index Index of the action indicating the end of an item in events.

max\_len Maximum length of generated sequences.

include\_time logical. Indicate if timestamp sequences should be generated. Default is FALSE.

time\_intv\_dist A list specifying the distribution of the inter-arrival time.

seq\_gen3

## **Details**

This function generates n action sequences according Pmat. The set of possible actions is events. All generated sequences start with events[start\_index] and end with events[end\_index]. If Pmat is not supplied, actions is uniformly drawn from events[-start\_index] until events[end\_index] appears.

### Value

An object of class "proc" with time\_seqs = NULL.

## See Also

Other sequence generators: seq\_gen3, seq\_gen

seq\_gen3

RNN action sequence generator

## **Description**

seq\_gen3 generates action sequences according to a recurrent neural network

## Usage

```
seq_gen3(n, events = letters, rnn_type = "lstm", K = 10,
  weights = NULL, max_len = 100, initial_state = NULL,
  start_index = 1, end_index = length(events), include_time = FALSE,
  time_intv_dist = list("exp", 1))
```

### **Arguments**

n	An integer. The number of action sequences to be generated.
events	A character vector specifying the set of N possible actions. Default is letters.
rnn_type	the type of recurrent unit to be used for generating sequences. "lstm" for the long-short term memory unit. "gru" for the gated recurrent unit.
K	the latent dimension of the recurrent unit.
weights	a list containing the weights in the embedding layer, the recurrent unit, the fully connected layer. If not (properly) specified, randomly generated weights are used.
max_len	Maximum length of generated sequences.
initial_state	a list containing the initial state of the recurrent neural network. If rnn_type="lstm", it contains two 1 by K matrices. If rnn_type="gru", it contains one 1 by K matrix. If not specified, all the elements are set to zero.
start_index	Index of the action indicating the start of an item in events.
end_index	Index of the action indicating the end of an item in events.
include_time	logical. Indicate if timestamp sequences should be generated. Default is FALSE.
<pre>time_intv_dist</pre>	A list specifying the distribution of the inter-arrival time.

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## Value

A list containing the following elements

```
seqs an object of class "proc" with time_seqs=NULL.
```

weights a list containing the weights used for generating sequences.

## See Also

Other sequence generators: seq\_gen2, seq\_gen

sub\_seqs

Subset response processes

## Description

Subset response processes

## Usage

```
sub_seqs(seqs, ids)
```

## Arguments

seqs an object of class "proc"

ids a vector of indices

## Value

```
an object of class "proc"
```

## **Examples**

```
data(cc_data)
seqs <- sub_seqs(cc_data$seqs, 1:10)</pre>
```

32 time\_seqs\_summary

summary.proc

Summary method for class "proc"

## **Description**

The summary of a "proc" object combines the summary of the action sequences and the summary of the timestamp sequences.

### **Usage**

```
## S3 method for class 'proc'
summary(object, ...)
```

## Arguments

```
object an object of class "proc". ... not used.
```

### Value

a list. Its components are the components returned by action\_seqs\_summary and time\_seqs\_summary.

### See Also

```
action_seqs_summary and time_seqs_summary
```

time\_seqs\_summary

Summarize timestamp sequences

## Description

Summarize timestamp sequences

## Usage

```
time_seqs_summary(time_seqs)
```

## **Arguments**

time\_seqs a list of timestamp sequences

#### Value

tseq2feature\_seq2seq 33

tseq2feature\_seq2seq Feature Extraction by time sequence autoencoder

## Description

tseq2feature\_seq2seq extract features from timestamps of action sequences by a sequence autoencoder.

## Usage

```
tseq2feature_seq2seq(tseqs, K, cumulative = FALSE, log = TRUE,
    rnn_type = "lstm", n_epoch = 50, method = "last",
    step_size = 1e-04, optimizer_name = "rmsprop", samples_train,
    samples_valid, samples_test = NULL, pca = TRUE, verbose = TRUE,
    return_theta = TRUE)
```

## Arguments

-	,	
	tseqs	a list of n timestamp sequences. Each element is a numeric sequence in the form of a vector of timestamps associated with actions, with the timestamp of the first event (e.g., "start") of $0$ .
	K	the number of features to be extracted.
	cumulative	logical. If TRUE, the sequence of cumulative time up to each event is used as input to the neural network. If FALSE, the sequence of inter-arrival time (gap time between an event and the previous event) will be used as input to the neural network. Default is FALSE.
	log	logical. If TRUE, for the timestamp sequences, input of the neural net is the base-10 log of the original sequence of times plus 1 (i.e., $log10(t+1)$ ). If FALSE, the original sequence of times is used.
	rnn_type	the type of recurrent unit to be used for modeling response processes. "lstm" for the long-short term memory unit. "gru" for the gated recurrent unit.
	n_epoch	the number of training epochs for the autoencoder.
	method	the method for computing features from the output of an recurrent neural network in the encoder. Available options are "last" and "avg".
	step_size	the learning rate of optimizer.
	optimizer_name	a character string specifying the optimizer to be used for training. Availabel options are "sgd", "rmsprop", "adadelta", and "adam".
	samples_train	vectors of indices specifying the training, validation and test sets for training autoencoder.
	samples_valid	vectors of indices specifying the training, validation and test sets for training autoencoder.
	samples_test	vectors of indices specifying the training, validation and test sets for training

autoencoder.

pca logical. If TRUE, the principal components of features are returned. Default is

TRUE.

verbose logical. If TRUE, training progress is printed.
return\_theta logical. If TRUE, extracted features are returned.

#### **Details**

This function trains a sequence-to-sequence autoencoder using keras. The encoder of the autoencoder consists of a recurrent neural network. The decoder consists of another recurrent neural network and a fully connected layer with ReLU activation. The outputs of the encoder are the extracted features.

The output of the encoder is a function of the encoder recurrent neural network. It is the last latent state of the encoder recurrent neural network if method="last" and the average of the encoder recurrent neural network latent states if method="avg".

#### Value

tseq2feature\_seq2seq returns a list containing

theta a matrix containing K features or principal features. Each column is a feature.

train\_loss a vector of length n\_epoch recording the trace of training losses.

valid\_loss a vector of length n\_epoch recording the trace of validation losses.

test\_loss a vector of length n\_epoch recording the trace of test losses. Exists only if

samples\_test is not NULL.

#### See Also

chooseK\_seq2seq for choosing K through cross-validation.

Other feature extraction methods: aseq2feature\_seq2seq, atseq2feature\_seq2seq, seq2feature\_mds\_large, seq2feature\_mds, seq2feature\_ngram, seq2feature\_seq2seq

## **Examples**

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Transform a timestamp sequence into a inter-arrival time sequence

## **Description**

Transform a timestamp sequence into a inter-arrival time sequence

## Usage

```
tseq2interval(x)
```

## Arguments

Χ

a timestamp sequence

#### Value

a numeric vector of the same length as x. The first element in the returned vector is 0. The t-th returned element is x[t] - x[t-1].

write.seqs

Write process data to csv files

## Description

Write process data to csv files

## Usage

```
write.seqs(seqs, file, style, id_var = "ID", action_var = "Event",
   time_var = "Time", step_sep = ",", ...)
```

## Arguments

seqs	an object of class "proc" to written in the csv file.
file	the name of the csv file from which the response processes are to be read.
style	the style that the response processes are stored. See 'Details'.
id_var	a string giving the name of the variable storing the process identifier.
action_var	a string giving the name of the variable storing action sequences.
time_var	a string giving the name of the variable storing timestamp sequences.
step_sep	the step separator characters. It is only used if style="single".
	further arguments to be passed to write.csv

## Value

No return value.

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